

266/03

Attorney Docket No. 071671/0158

Applicant:

Hideo NAMIKI

Title:

SYNCHRONOUS DATA TRANSMISSION SYSTEM

Serial No.:

09/919,873

Filed:

August 2, 2001

Examiner:

Unknown

Art Unit:

2661

RECEIVED

APR 2 2 2003

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any documents herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The instant Information Disclosure Statement is believed to be filed in accordance with 37 C.F.R. 1.97(b), prior to the mailing date of a first Office Action on the merits (first scenario). If that is not the case, such as in a second scenario in which a first Office Action on the merits has been mailed before the filing of the instant Information Disclosure Statement, then either a certification or fee is required, and a certification is provided below. If neither of the first or second scenarios is the case, such as if a final Office Action or a notice of allowance has been mailed by the PTO (third scenario), then both a certification and fee are required, and in that case a certification is provided below and also the PTO is authorized to obtain the necessary fee to have the instant IDS considered, from Foley & Lardner Deposit Account #19-0741.

CERTIFICATION

The undersigned hereby certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

RELEVANCE OF EACH DOCUMENT

A translation of a portion of a Japanese Office Action that issued March 11, 2003 with respect to a counterpart Japanese patent application is provided below.

"Claims: 1 through 6

Cited Literature: 1 through 3

A well-known technology to practitioners of the art is a configuration that provides a clock production unit on the transmission and reception sides respectively and transmits and receives data with the local clock as the standard, wherein it is possible to control the frequencies of the transmission side clock and the reception side clock and make them agree so that data is transmitted without error. (For example, refer to Cited Literature 1 through 3.)

Moreover, also well known to practitioners of the art are data transmission systems that transmit audio and video data using asynchronous transmission circuits. (If necessary, refer to Cited Literature 4, etc.)

Consequently, the invention related to Claims 1 through 6 of the present application could be easily conceived by a person skilled in the art based on the well-known technologies described in Cited Literature 1 through 4.

If new reasons for rejection are discovered, notification will be given of the reasons for rejection.

List of Cited Literature

- 1. Japanese Unexamined Patent Application Publication H3-159426
- 2. Japanese Unexamined Patent Application Publication S56-34248
- 3. Japanese Unexamined Patent Application Publication H6-252906
- 4. Japanese Unexamined Patent Application Publication S63-187942

Record of Prior Art Literature Search Results

Fields searched - IPC 7th Ed. H04J 3/00-3/26 H04L 5/22-5/26 H04L 7/00-7/10

This Record of Prior Art Literature Search Results does not constitute a reason for rejection."

Applicant's statements regarding the Japanese Office Action are based on a partial translation that Applicant's representative obtained. These statements should in no way be considered as an agreement by Applicant with, or an admission of, what is asserted in the Japanese Office Action.

Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO SB/08 be returned in accordance with MPEP §609.

Respectfully submitted,

Registration No. 38,819

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MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

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> Substitute for form 1449B/P **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

> Date Submitted: April 21, 2003

(use as many sheets as necessary)

Sheet 1 of

Complete if Known				
Application Number	09/919,873			
Filing Date	08/02/2001			
First Named Inventor	Hideo NAMIKI			
Group Art Unit	2661			
Examiner Name	Unknown			
Attorney Docket Number	071671-0158			

U.S. PATENT DOCUMENTS						
		U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,
	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
						APR Z 2 2003
					Tech	nology Center 2600

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
	No.1	Office	³ Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Documents	MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶
	A1	JP	56-034248	3		04/06/1981		l X
	A2	JP	3-159426			07/09/1991		X
	A3	JP	6-252906			09/09/1994		X

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T®		
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Date Considered	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.